SEC Form 4

1

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

- 1	-	-				
		0005 0007				
	OMB Number:	3235-0287				
	Estimated average	burden				
	hours per response:	: 0.5				

to Section 16. Fo obligations may o Instruction 1(b).	rm 4 or Form 5	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940		Estimated average burden hours per response: 0.5				
1. Name and Addres Goodwin Wal		(Middle)	2. Issuer Name and Ticker or Trading Symbol <u>SMITH A O CORP</u> [AOS] 3. Date of Earliest Transaction (Month/Day/Year)	(Check all applicab Director X Officer (giv below)	10% Owner ve title Other (specify below)				
LOCHINVAR, L 300 MADDOX S	.LC SIMPSON PARK	WAY	05/03/2021	SVP; Pres & GM, Lochinvar, LLC					
(Street) LEBANON	TN	37090	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form filed	t/Group Filing (Check Applicable by One Reporting Person by More than One Reporting				
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	05/03/2021		S ⁽¹⁾		1,595	D	\$69.8	15,255	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		on of Expira		r 6. Date Exercisable and Expiration Date (Month/Day/Year) S		Expiration Date		Expiration Date		Expiration Date		Expiration Date A (Month/Day/Year) S U D S S		Expiration Date (Month/Day/Year)		f Expiration Date (Month/Day/Year) cquired A) or isposed f (D) nstr. 3, 4		f Expiration Date (Month/Day/Year) acquired A) or isposed f (D) instr. 3, 4		Amou Secu Unde Deriv	rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares																		

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 17, 2021.

James F.	James F. Stern, Attorney-in-						
Fact for V	<u>Vallace E. Goodwin</u>	<u>05/0</u>					
** Signature	of Penorting Person	Date					

04/2021

Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.