FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP |
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Lauber Charles T | | | | | | SMITH A O CORP [AOS] | | | | | | | | | tionship of Reporting all applicable) Director Officer (give title | | ng Per | son(s) to Iss 10% Ov Other (s | vner | |
|--|---|--|--|----------|------------------------------|---|--|--------|---|---------------------------|--|---|---------------------------------------|---|---|---|---|--|---|--|
| (Last) (First) (Middle) A. O. SMITH CORPORATION | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/11/2013 | | | | | | | | X | below) | | ategy | below) | · · · | |
| 11270 WEST PARK PLACE (Street) MILWAUKEE WI 53224 (City) (State) (Zip) | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Indivine) | ′ | | | | | |
| (City) | (3 | - | | on Dori | , ative | | | ioo Ao | | 1 D: | | | moficia | alla. | 0 | <u> </u> | | | | |
| Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transacti Date (Month/Day) | | | | | ction | 2A Ex | 2A. Deemed Execution Date, if any | | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a | | | r 5. Amo and 5) Securi Benefi Owne | | int of es ially Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | | Code | v | Amount | (A) or (D) | Price | | Reporte Transac (Instr. 3 | tion(s) | | | (Instr. 4) | |
| Common Stock 11/11/20 | | | | | |)13 | | | М | | 1,600 | A | \$22.9 | 85 | 11, | 126(1) | | D | | |
| Common Stock 11/11/20 | | | | | 2013 |)13 | | | S | | 1,600 | D | \$53.0 | 046 | 9, | 526 | | D | | |
| | | 7 | able II | | | | | | | | posed of converti | | | | wned | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Dee Execution if any (Month/I | on Date, | 4. Transa Code (8) | | of Deri Sec Acq (A) o Disp of (I (Ins | of E | | Exerci on Da Day/Yo | | 7. Title and Amount of Securities Underlying Derivative Securit (Instr. 3 and 4) | | De Se (In | Price of rivative curity str. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4) | s B Ily | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership t (Instr. 4) | |
| | | | | | Code | v | (A) | | Date Exercisa | able | Expiration Date | Title | Amoun or Number of Shares | | | | | | | |
| Employee Stock Options (Right to Buy) | \$22.985 | 11/11/2013 | | | М | | | 1,600 | 02/13/2 | 013 | 02/13/2022 | Common Stock | 1,600 | | \$0 | 8,534 ⁽² | 2) | D | | |
| Restricted Stock | \$0 | | | | | | | | (3) | | (3) | Common | 0 | | | 4,600 ⁽³ | 3) | D | | |

Explanation of Responses:

- 1. On May 15, 2013, the common stock of A. O. Smith Corporation split 2-for1, resulting in the reporting person's ownership of 4,763 additional shares of Common Stock.
- $2. \ On \ May \ 15, 2013, the \ common \ stock \ of \ A. \ O. \ Smith \ Corporation \ split \ 2-for 1, resulting in the reporting person's \ ownership \ of \ 5,067 \ additional \ Employee \ Stock \ Options.$
- 3. On May 15, 2013, the common stock of A. O. Smith Corporation split 2-for1, resulting in the reporting person's ownership of 2,300 additional Restricted Stock Units.

Remarks:

James F. Stern, Attorney-in-Fact for Charles T. Lauber

11/12/2013

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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