SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

1. Name and Address of Reporting Person [*] JONES PAUL W			2. Issuer Name and Ticker or Trading Symbol <u>SMITH A O CORP</u> [AOS]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) A. O. SMITH CORPORATION 11270 WEST PARK PLACE		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/01/2012	X	Officer (give title below) Chairman and C	Other (specify below)		
(Street) MILWAUKEE (City)	WI (State)	53224 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing (C Form filed by One Reporti Form filed by More than O Person	ng Person		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code V		Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/01/2012		М		76,200	A	\$25.837	205,475	D	
Common Stock	02/01/2012		М		54,131	A	\$23.76	259,606	D	
Common Stock	02/01/2012		S		200	D	\$42.69	259,406	D	
Common Stock	02/01/2012		S		100	D	\$42.7	259,306	D	
Common Stock	02/01/2012		S		100	D	\$42.715	259,206	D	
Common Stock	02/01/2012		S		100	D	\$42.72	259,106	D	
Common Stock	02/01/2012		S		100	D	\$42.73	259,006	D	
Common Stock	02/01/2012		S		100	D	\$42.75	258,906	D	
Common Stock	02/01/2012		S		100	D	\$42.76	258,806	D	
Common Stock	02/01/2012		S		100	D	\$42.77	258,706	D	
Common Stock	02/01/2012		S		100	D	\$42.84	258,606	D	
Common Stock	02/01/2012		S		100	D	\$42.85	258,506	D	
Common Stock	02/01/2012		S		100	D	\$42.86	258,406	D	
Common Stock	02/01/2012		S		100	D	\$42.94	258,306	D	
Common Stock	02/01/2012		S		400	D	\$42.95	257,906	D	
Common Stock	02/01/2012		S		100	D	\$42.955	257,806	D	
Common Stock	02/01/2012		S		400	D	\$42.96	257,406	D	
Common Stock	02/01/2012		S		301	D	\$42.97	257,105	D	
Common Stock	02/01/2012		S		1,000	D	\$42.98	256,105	D	
Common Stock	02/01/2012		S		2,800	D	\$42.99	253,305	D	
Common Stock	02/01/2012		S		700	D	\$43.02	252,605	D	
Common Stock	02/01/2012		S		200	D	\$43.04	252,405	D	
Common Stock	02/01/2012		S		1,300	D	\$43.07	251,105	D	
Common Stock	02/01/2012		S		300	D	\$43.09	250,805	D	
Common Stock	02/01/2012		S		900	D	\$43.11	249,905	D	
Common Stock	02/01/2012		S		200	D	\$43.15	249,705	D	
Common Stock	02/01/2012		S		100	D	\$43.16	249,605	D	
Common Stock	02/01/2012		S		100	D	\$43.17	249,505	D	
Common Stock	02/01/2012		S		100	D	\$43.19	249,405	D	
Common Stock	02/01/2012		S		900	D	\$43.21	248,505	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative Expiration Date Securities (Month/Day/Year) Acquired (A)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Employee Stock Options (Right to Buy)	\$25.837	02/01/2012		М			76,200 ⁽¹⁾	02/09/2008	02/09/2017	Common Stock	76,200	\$0	448,650	D	
Employee Stock Options (Right to Buy)	\$23.76	02/01/2012		М			54,131 ⁽²⁾	02/11/2009	02/11/2018	Common Stock	54,131	\$0	394,519	D	

Explanation of Responses:

1. The employee stock options were granted on 02/09/2007 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3.

2. The employee stock options were granted on 02/11/2008 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3.

Remarks:

James F. Stern, Attorney-in-Fact for Paul W. Jones

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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